

# MPRI 2-7-2: Proof Assistants

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# Update!

## Update!

- No lecture on October 11th:  
5th lecture (Matthieu's first) on October 18th

# Advertisement! Internships

- Stitching functions (application to HoTT)

define  $f + g : \mathbb{Z} \rightarrow X$  by stitching  $f : \mathbb{Z}^- \rightarrow X$  and  $g : \mathbb{Z}^+ \rightarrow X$

`stitch : ∀ (f : ℤ → X) (g : ∀ n : ℤ, {m : X | n = 0 → m = f 0}), ℤ → X`

- Relating Set and Type Theory (HoTT's univalence helps!)

Find a restriction of ZF such that:

**Type Theoretic Universes** have the same strength as **Grothendieck Universes** (aka inaccessible cardinals)

- Reduction machines for the  $\lambda$ -calculus  
Strong Call-by-Need

(implementation in the Type Theory of DEDUKTI)

# Recap

Last week:

- Universes
  - Stratification of types to avoid paradoxes `Typei`
  - Impredicativity of `Prop`: `(forall P:Prop, P) : Prop !`
- Impredicative encodings of datatypes is limited
  - Cannot prove  $0 \neq 1$ , or the induction scheme
- Dependent types
  - $\prod x : A. B$  and  $\sum x : A. B$  dependent products and sums  
 $f : \prod x : A. B \vdash f a : B[a/x]$  and  
 $c : \sum x : A. B \vdash \pi_2(c) : B[\pi_1(c)/x]$
  - $\forall x : A. B = \prod x : A. B$  and  $\exists x : A. B \approx \sum x : A. B$

# Overview

- 1 Simple Inductive Types: natural numbers
- 2 Inductive Types with Parameters
  - Datatypes: lists, trees
  - Logical connectives
- 3 Inductive families
  - Predicate defined by inference rules
  - Definition of equality
  - Vectors
- 4 Non-uniform parameters

# Type of Natural Numbers

Martin-Löf scheme (form/intro/elim/comp):

- 1 formation rule:

$$\overline{\vdash \mathbb{N} : \mathbf{Type}}$$

- 2 introduction rules:

$$\overline{\vdash 0 : \mathbb{N}} \quad \frac{\vdash n : \mathbb{N}}{\vdash S(n) : \mathbb{N}}$$

- 1 elimination rule (least type closed by 0 and S)

$$\frac{\begin{array}{c} \vdash P : \mathbb{N} \rightarrow \mathbf{Type} \quad \vdash m : \mathbb{N} \\ \vdash f_0 : P(0) \quad \vdash f_S : \prod n : \mathbb{N}. P(n) \rightarrow P(S(n)) \end{array}}{\vdash \mathit{Rec}(f_0, f_S, m) : P(m)}$$

- 2 computation rules

$$\mathit{Rec}(f_0, f_S, 0) = f_0 \quad \mathit{Rec}(f_0, f_S, S(n)) = f_S(n, \mathit{Rec}(f_0, f_S, n))$$

# Dependent vs non-dependent elimination

The induction scheme:

$$\frac{\begin{array}{l} \vdash P : \mathbb{N} \rightarrow \mathbf{Type} \quad \vdash n : \mathbb{N} \\ \vdash f_0 : P(0) \quad \vdash f_S : \Pi n : \mathbb{N}. P(n) \rightarrow P(S(n)) \end{array}}{\vdash \mathit{Rec}(f_0, f_S, n) : P(n)}$$

If we drop the dependent types ( $P$  is a constant type):

$$\frac{\begin{array}{l} \vdash P : \mathbf{Type} \quad \vdash n : \mathbb{N} \\ \vdash f_0 : P \quad \vdash f_S : \mathbb{N} \rightarrow P \rightarrow P \end{array}}{\vdash \mathit{Rec}(f_0, f_S, n) : P}$$

$\Rightarrow$  This is the recursor of Gödel's T!

Conclusions:

- Induction scheme and recursor is another instance of the Curry-Howard isomorphism
- The recursor of Gödel's T is a non-dependent specialization of the induction scheme

# Inductive types in Coq

Coq provides the user with a general mechanism:

- Inductive type specified by the introduction rules (called constructors)
- A dependent induction/recursion scheme is derived systematically (called eliminator)
- Computation rules derived systematically ( $\iota$ -reduction)

Comparison with Martin-Löf's inductive types:

- Coq **checks** the definition preserves consistency (but not complete!)  
⇒ Strictly positive inductive definitions
- Coq allows impredicative inductive definitions (defined later...)
- Coq uses style of Pure Type Systems



# Natural numbers in Coq

Declaration of the natural numbers:

```
Inductive nat : Type :=
| O : nat | S : nat -> nat.
```

(or `Inductive nat := O | S (n:nat).`)

*which defines*

- a type  $\Gamma \vdash \text{nat} : \mathbf{Type}$
- a set of introduction rules for this type : constructors

$$\Gamma \vdash O : \text{nat} \qquad \frac{\Gamma \vdash n : \text{nat}}{\Gamma \vdash S n : \text{nat}}$$

# Natural numbers in Coq: elimination

*which defines also*

- an elimination rule (pattern-matching operator with a result depending on the object which is eliminated)

$$\begin{array}{l} \vdash t : \text{nat} \quad x : \text{nat} \vdash A(x) : \text{Type} \\ \vdash t_1 : A(O) \quad n : \text{nat} \vdash t_2 : A(S n) \end{array}$$

---


$$\vdash \text{match } t \text{ as } x \text{ return } A(x) \text{ with } O \Rightarrow t_1 \mid S n \Rightarrow t_2 \text{ end} : A(t)$$

- reduction rules preserve typing ( $\iota$ -reduction)

$$(\text{match } O \text{ as } x \text{ return } A(x) \text{ with } O \Rightarrow t_1 \mid S n \Rightarrow t_2 \text{ end}) \rightarrow_{\iota} t_1$$

$$\begin{array}{l} (\text{match } S m \text{ as } x \text{ return } A(x) \text{ with } O \Rightarrow t_1 \mid S n \Rightarrow t_2 \text{ end}) \\ \rightarrow_{\iota} t_2[m/n] \end{array}$$

## Natural numbers in Coq: case-analysis

- We obtain case analysis and construction by cases :

$$\lambda P : \text{nat} \rightarrow \text{s}.$$

$$\lambda H_O : P(O).$$

$$\lambda H_S : \forall m : \text{nat}. P(S m).$$

$$\lambda n : \text{nat}.$$

$$\text{match } n \text{ as } y \text{ return } P(y) \text{ with}$$

$$| O \Rightarrow H_O$$

$$| S m \Rightarrow H_S m$$

$$\text{end}$$

- is a proof of

$$\forall P : \text{nat} \rightarrow \text{s}. P(O) \rightarrow (\forall m : \text{nat}. P(S m)) \rightarrow \forall n : \text{nat}. P(n)$$

*How to derive the standard recursion scheme?*

# Fixpoint : from case analysis to induction

## case-analysis

```

λP : nat → Type,
λHO : P(O),
λHS : ∀m : nat, P(S m),
λn : nat,
  match n return P(n) with
    O ⇒ HO | S m ⇒ HS m
  end

```

## has type

```

∀P : nat → Type,
P(O) →
(∀m : nat, P(S m)) →
∀n : nat, P(n)

```

## recursor

```

λP : nat → Type,
λHO : P(O),
λHS : ∀m : nat, P(m) → P(S m),
fix f (n : nat) : P(n) :=
  match n return P(n) with
    O ⇒ HO | S m ⇒ HS m (f m)
  end

```

## has type

```

∀P : nat → Type,
P(O) →
(∀m : nat, P(m) → P(S m)) →
∀n : nat, P(n)

```

## Fixpoint operator : well-foundness

Requirement of the Calculus of Inductive Constructions :

- the **argument** of the fixpoint has type an **inductive** definition
- recursive calls are on arguments which are **structurally** smaller

Example of recursor on natural numbers

```

λP : nat → s,
λHO : P(O),
λHS : ∀m : nat, P(m) → P(S m),
fix f (n : nat) : P(n) :=
  match n as y return P(y) with
  O ⇒ HO | S m ⇒ HS m (f m)
end

```

is correct with respect to CCI : recursive call on  $m$  which is structurally smaller than  $n$  in the inductive `nat`.

# Inductive types with parameters

## Example of lists

```
Inductive list (A:Type) : Type :=
| nil : list A
| cons : A -> list A -> list A.
```

*which defines*

- a family of types  $\frac{}{\Gamma \vdash \text{list} : \mathbf{Type} \rightarrow \mathbf{Type}}$
- a set of introduction rules for the types in this family

$$\frac{\Gamma \vdash A : \mathbf{Type}}{\Gamma \vdash \text{nil}_A : \text{list } A} \quad \frac{\Gamma \vdash A : \mathbf{Type} \quad \Gamma \vdash a : A \quad \Gamma \vdash l : \text{list } A}{\Gamma \vdash \text{cons}_A a l : \text{list } A}$$

# Inductive types with parameters

## Example of lists : elimination

- An elimination rule (pattern-matching operator with a result depending on the object which is eliminated)

$$\frac{\Gamma \vdash l : \text{list } A \quad \Gamma, x : \text{list } A \vdash C(x) : s \quad \Gamma \vdash t_1 : C(\text{nil}) \quad \Gamma, a : A, l : \text{list } A \vdash t_2 : C(\text{cons}_A a l)}{\Gamma \vdash \left( \begin{array}{l} \text{match } l \text{ as } x \text{ return } C(x) \text{ with} \\ \text{nil} \Rightarrow t_1 \mid \text{cons } a l \Rightarrow t_2 \\ \text{end} \end{array} \right) : C(l)}$$

- reduction rules which preserve typing ( $\iota$ -reduction)

$$\left( \begin{array}{l} \text{match } \text{nil}_A \text{ as } x \text{ return } C(x) \text{ with} \\ \text{nil} \Rightarrow t_1 \mid \text{cons } a l \Rightarrow t_2 \\ \text{end} \end{array} \right) \rightarrow_{\iota} t_1$$

$$\left( \begin{array}{l} \text{match } \text{cons}_A a' l' \text{ as } x \text{ return } C(x) \text{ with} \\ \text{nil} \Rightarrow t_1 \mid \text{cons } a l \Rightarrow t_2 \\ \text{end} \end{array} \right) \rightarrow_{\iota} t_2[a', l'/a, l]$$

# Infinitely branching trees in Coq

## Declaration of the infinitely branching trees:

```

Inductive tree (A:Type) : Type :=
| Leaf : tree A
| Node : A -> (nat -> tree A) -> tree A.
tree is defined
tree_rect is defined
tree_ind is defined
tree_rec is defined

tree_rect =
fun (A : Type) (P : tree A->Type) (f : P (Leaf A))
  (f0 : forall (a : A) (t : nat -> tree A),
    (forall n:nat, P (t n)) -> P (Node A a t)) =>
fix F (t : tree A) : P t :=
  match t as t0 return (P t0) with
  | Leaf => f
  | Node y t0 => f0 y t0 (fun n : nat => F (t0 n))
end

```



# Mutual inductive types

Define several types referring one to each other:

```
Inductive tree (A:Type) :=  
  | node (_:A) (_:forest A)  
with forest (A:Type) :=  
  | nil  
  | next (_:tree A) (_:forest A).
```

Type of finitely branching trees

# Trees and forests: elimination

Elimination: pattern-matching and fixpoint

- Pattern-matching as usual
- Mutual fix:

```
fix size (t:tree A) : nat :=
  match t with node _ f => S(sizef f) end
with sizef (f:forest A) : nat :=
  match f with
  | nil => 0
  | next t f' => size t + sizef f'
  end
```

**Beware:** by default Coq generates schemes that do **not** consider cross-recursion! (use command `Scheme`)

# Elimination scheme

```

λ(A : Type)(P : tree A → s)(Q : forest A → s),
λHnode Hnil Hnext,
fix f (t : tree A) : P(t) :=
  match t return P(t) with
  node x f ⇒ Hnode x f (g f)
  end
with g (f : forest A) : Q(f) :=
  match f return Q(f) with
  nil ⇒ Hnil | next t f' ⇒ Hnext t (f t) f' (g f')
  end
in f

```

```

f : ∀(A : Type)(P : tree A → s)(Q : forest A → s),
  (∀x f, Q(f) → P(node x f)) →
  Q(nil) →
  (∀t, P(t) → ∀f, Q(f) → Q(next t f)) →
  ∀t : tree A, P(t)

```

# Nested inductive types

Note: forests are lists of trees!

Reuse list definition:

```
Inductive tree (A:Type) :=  
| node (_:A) (_:list (tree A)).
```

(in principle, closer to infinitely branching trees)

# Elimination

Nested fix:

```

λ(A: Type)(P : tree A → s)(Q : list(tree A) → s),
λHnode Hnil Hcons,
fix f (t : tree A) : P(t) :=
  match t return P(t) with
  node x f ⇒ list_rec (tree A) Q Hnil (λx l (Hl : Q(l)), Hcons x (f x) l Hl)
  end
  
```

```

f : ∀(A: Type)(P : tree A → s)(Q : list(tree A) → s),
  (∀x f, Q(f) → P(node x f)) →
  Q(nil) →
  (∀t, P(t) → ∀f, Q(f) → Q(cons t f)) →
  ∀t : tree A, P(t)
  
```

# Mutual vs nested inductive types

Mutual and nested inductive types provide similar features:

- Paulin has shown **reduction** of nested to mutual
- Converse reduction also holds

However, their usage is different (FAQ!):

- Cannot use **mutual** fix with **nested** inductive defs
- Cannot use **nested** fix with **mutual** inductive defs

# Logical connectives

## Disjunction example

```

Inductive or (A:Prop) (B:Prop) : Prop :=
| or_introl : A -> or A B
| or_intror : B -> or A B.

```

### ■ General elimination rule

$$\frac{\Gamma \vdash t : \text{or } A B \quad \Gamma, x : \text{or } A B \vdash C(x) : \mathbf{Prop} \quad \Gamma, p : A \vdash t_1 : C(\text{or\_introl } p) \quad \Gamma, q : B \vdash t_2 : C(\text{or\_intror } q)}{\Gamma \vdash \left( \begin{array}{l} \text{match } t \text{ as } x \text{ return } C(x) \text{ with} \\ \quad \text{or\_introl } p \Rightarrow t_1 \mid \text{or\_intror } q \Rightarrow t_2 \\ \text{end} \end{array} \right) : C(t)}$$

# More logical connectives

The other logical connectives:

```
Inductive and (A:Prop) (B:Prop) : Prop :=
| conj : A -> B -> and A B.
Inductive True : Prop := I.
Inductive False : Prop := .
Inductive ex (A:Type) (P:A->Prop) : Prop :=
| ex_intro : forall (x:A), P x -> ex A P.
```

Exercise: guess the type of the generated eliminator.



# Limitations of parameters

Defining a predicate:

```
Inductive even (n:nat) : Prop :=
  even_i (half:nat) (_:half+half=n).
```

Inductive types with parameters are some kind of “template”

```
Inductive listnat :=
  nilnat | consnat (_:nat) (_:listnat).
Inductive listbool :=
  nilbool | consbool (_:bool) (_:listbool).
```

**No dependency** between both types.

But in the definition of  $\text{even} : \text{nat} \rightarrow \text{Prop}$  as an inductive type/set

$$\frac{}{E_0 : \text{even } 0} \quad \frac{e : \text{even } n}{E_{SS}(e) : \text{even } (S (S n))}$$

$\text{even } (S (S 0))$  **depends on**  $\text{even } 0$ .

# Inductive families

Family = **indexed** type

$P : \text{nat} \rightarrow \text{Type}$  represents the type family  $(P(n))_{n \in \mathbb{N}}$

Inductive family:

- Constructors do not inhabit **uniformly** the members of the family
- Recursive arguments can **change** the value of the index

Even numbers:

```
Inductive even : nat -> Prop :=
  E0 : even 0
| ESS (n:nat) (e:even n) : even (S (S n)).
```

Syntax very close to **inference rules**!

# Elimination scheme

Elimination scheme: minimality of predicate, **rule-induction**

```
even_ind : forall (P:nat->Prop),  
  P 0 -> (forall n, P n -> P (S (S n))) ->  
  forall n, even n -> P n.
```

Seems the analogous of nat's **dependent scheme**

# Elimination scheme

Elimination scheme: minimality of predicate, **rule-induction**

```
even_ind : forall (P:nat->Prop),
  P 0 -> (forall n, P n -> P (S (S n))) ->
  forall n, even n -> P n.
```

Seems the analogous of nat's **dependent scheme** (NOT!)

Even's dependent scheme (refers to constructors  $E_0$  and  $ESS$ ):

```
forall (P : forall n, even n -> Prop),
  P 0 E0 ->
  (forall n (e:even n), P n e -> P (S (S n)) (ESS n e)) ->
  forall n (e:even n), P n e
```

Definable in Coq, but not automatically generated (why? wait and see...)

# Defining the dependent elimination scheme

Even more complex return clause: in

```

Definition even_ind_dep (P:forall n , even n -> Prop)
  (h0:P 0 E0)
  (hSS:forall n e, P n e -> P (S (S n)) (ESS n e))
  : forall n, even n -> P n :=
  fix F n e :=
  match e as e' in even k return P k e' with
  | E0 => h0 :      P 0 E0
  | ESS k e' =>
    hSS k e' (F k e') : P (S (S k)) (ESS k e')
  end
  
```

Notation `as e' in even k return P k e'` is just a way to write the term `fun k e' => P k e'`.

Becomes natural with time...

# Equality: the paradigmatic indexed family

Propositional equality is defined as:

```
Inductive eq (A : Type) (a : A) : A -> Prop :=
  eq_refl : eq A a a.
```

```
Notation "x = y" := (eq x y).
```

Its dependent elimination principle is of the form:

$$\frac{\Gamma \vdash e : eq A t u \quad \Gamma, y:A, e':eq A t y \vdash C(y, e') : s \quad \Gamma \vdash t : C(t, eq\_refl_{A,t})}{\Gamma \vdash \left( \begin{array}{l} \text{match } e \text{ as } e' \text{ in } eq\_y \text{ return } C(y, e') \text{ with} \\ \quad eq\_refl \Rightarrow t \\ \quad \text{end} \end{array} \right) : C(u, e)}$$

# Tactics related to equality

## Tactics:

- `f_equal` (congruence)  $\frac{x=y}{f(x)=f(y)}$
- `discriminate` (constructor discrimination)  

$$\frac{C(t_1, \dots, t_n) = D(u_1, \dots, u_k)}{A}$$
- `injection` (injectivity of constructors)  $\frac{C(t_1, \dots, t_n) = C(u_1, \dots, u_n)}{t_1 = u_1 \quad \dots \quad t_n = u_n}$
- `inversion` (necessary conditions)  $\frac{\text{even } (S(Sn))}{\text{even } n}$
- `rewrite` (substitution)  $\frac{x=y \quad P(y)}{P(x)}$
- `symmetry`, `transitivity`

# Inductive types with parameters and index

## Example of vectors with size

```
Inductive vect (A:Type) : nat -> Type :=
| niln : vect A 0
| consn :
  A -> forall n:nat, vect A n -> vect A (S n).
```

*which defines*

- a family of types-predicates:  
 $\Gamma \vdash \text{vect} : \mathbf{Type} \rightarrow \mathit{nat} \rightarrow \mathbf{Type}$
- a set of introduction rules for the types in this family

$$\frac{\Gamma \vdash A : \mathbf{Type}}{\Gamma \vdash \text{niln}_A : \text{vect } A \ 0}$$

$$\frac{\Gamma \vdash A : \mathbf{Type} \quad \Gamma \vdash a : A \quad \Gamma \vdash n : \mathit{nat} \quad \Gamma \vdash l : \text{vect } A \ n}{\Gamma \vdash \text{consn}_A \ a \ n \ l : \text{vect } A \ (S \ n)}$$



# Inductive types with parameters and index

*vectors* : *elimination*

- an elimination rule (pattern-matching operator with a result depending on the object which is eliminated)

$$\frac{\Gamma \vdash v : \mathit{vect} \ A \ n \quad \Gamma, m : \mathit{nat}, x : \mathit{vect} \ A \ m \vdash C(m, x) : s \quad \Gamma \vdash t_1 : C(O, \mathit{nil} \ n \ A) \quad \Gamma, a : A, n : \mathit{nat}, l : \mathit{vect} \ A \ n \vdash t_2 : C(S \ n, \mathit{cons} \ n \ A \ a \ n \ l)}{\Gamma \vdash \left( \begin{array}{l} \mathit{match} \ v \ \mathit{as} \ x \ \mathit{in} \ \mathit{vect} \ \_ \ p \ \mathit{return} \ C(p, x) \ \mathit{with} \\ \quad \mathit{nil} \ n \Rightarrow t_1 \mid \mathit{cons} \ n \ a \ n \ l \Rightarrow t_2 \\ \quad \mathit{end} \end{array} \right) : C(n, v)}$$

# Inductive types with parameters and index

- reduction rules preserve typing ( $\iota$ -reduction)

$$\left( \begin{array}{l} \text{match niln}_A \text{ as } x \text{ in } \mathit{vect\_p} \text{ return } C(x, p) \text{ with} \\ \quad \text{niln} \Rightarrow t_1 \mid \text{consn } a \ n \ l \Rightarrow t_2 \\ \text{end} \end{array} \right)$$

$$\rightarrow_{\iota} t_1$$

$$\left( \begin{array}{l} \text{match consn}_A \ a' \ n' \ l' \text{ as } x \text{ in } \mathit{vect\_p} \text{ return } C(x, p) \text{ with} \\ \quad \text{niln} \Rightarrow t_1 \mid \text{consn } a \ n \ l \Rightarrow t_2 \\ \text{end} \end{array} \right)$$

$$\rightarrow_{\iota} t_2[a', n', l' / a, n, l]$$

# Non-uniform parameters

Non-uniform parameter:

- Instance in constructor return type: like parameters
- Instance in constructor arguments: arbitrary value

```
Inductive mystery (A:Type) :=  
| C0 : A -> mystery A  
| C1 : mystery (A*A) -> mystery A.
```

What's this type?

# Non-uniform parameters

Non-uniform parameter:

- Instance in constructor return type: like parameters
- Instance in constructor arguments: arbitrary value

```
Inductive mystery (A:Type) :=
| C0 : A -> mystery A
| C1 : mystery (A*A) -> mystery A.
```

What's this type?

```
Definition t4 : mystery nat :=
  CS nat (CS (nat*nat) (C0 _ ((1,2), (3,4)))).
```

... a  $2^n$ -tuple of  $A$  (for some  $n$ )

# Non-uniform parameters

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What's this type?

```
Definition t4 : mystery nat :=
  CS nat (CS (nat*nat) (C0 _ ((1,2), (3,4)))).
```

... a  $2^n$ -tuple of  $A$  (for some  $n$ ) = a complete binary tree

# Elimination rules

Pattern-matching:

$$\frac{\Gamma \vdash e : \text{tuple } A \quad \Gamma, h : \text{tuple } A \vdash P(h) : s \quad \Gamma, x : A \vdash t_0 : P(H0 \ A \ x) \quad \Gamma, h : \text{tuple}(A * A) \vdash t_S : P(HS \ A \ h)}{\Gamma \vdash \left( \begin{array}{l} \text{match } e \text{ as } h \text{ return } P(h) \text{ with} \\ \quad H0 \ x \Rightarrow t_0 \\ \quad | \quad HS \ h \Rightarrow t_S \\ \quad \text{end} \end{array} \right) : P(e)}$$

Elimination:

```
tuple_rect :
  forall (P:forall A, tuple A -> Type),
  (forall A x, P A (H0 A x)) ->
  (forall A h, P (A*A) h -> P A (HS A h)) ->
  forall A (h:tuple A), P A h.
```

# Encoding inductive families

Non-uniform parameters can encode inductive families:

```

Inductive even (n:nat) : Prop :=
  E0' (_:n=0)
| ESS' (k:nat) (e:even k) (_:n=S (S k)).
Definition E0 : even 0 := E0' 0 eq_refl.
Definition ESS n e : even (S (S n)) :=
  ESS' (S (S n)) n e eq_refl.

```

# Next week...

- Termination of fixpoints  
Guard condition
- Valid inductive definitions  
(Strict) Positivity