

# Course - Simulating Turing Machines by Analytic Functions

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## 1 Coding of configurations of Turing machines

Source: [1]

### 1.1 Turing machines

We want to obtain a map that captures the behavior of the transition function of a Turing Machine.

Without loss of generality, consider a Turing machine  $M$  using 10 symbols, the blank symbol  $B = 0$ , and symbols  $1, 2, \dots, 9$ . Let

$$\dots BBBa_{-k}a_{-k+1} \dots a_{-1}a_0a_1 \dots a_n BBB \dots$$

(1) represent the tape contents of the Turing machine  $M$ . We suppose the head to be reading symbol  $a_0$  and  $a_i \in \{0, 1, \dots, 9\}$  for all  $i$ . We also suppose that  $M$  has  $m$  states, represented by numbers  $1$  to  $m$ . For convenience, we consider that if the machine reaches a halting configuration it moves to the same configuration. We assume that, in each transition, the head either moves to the left, moves to the right, or does not move.

### 1.2 Coding a configuration: using integers.

Take

$$\begin{aligned} y_1 &= a_0 + a_1 10 + \dots + a_n 10^n, \\ y_2 &= a_{-1} + a_{-2} 10 + \dots + a_{-k} 10^{k-1}, \end{aligned}$$

and let  $q$  be the state associated to the current configuration. Then the triple

$$\gamma_{\mathbb{N}^3}^{TM}(C) = (y_1, y_2, q) \in \mathbb{N}^3$$

encodes the current configuration  $C$  of  $M$  by an element of  $\mathbb{N}^3$ .

### 1.3 Coding a configuration: using integers (variant).

Olivier: modifié légèrement codage par rapport à [2]



Then the triple

$$\gamma_{\mathbb{N}^2}^{TM}(C) = (q + (m + 1)y_1, y_2, q) \in \mathbb{N}^3$$

encodes the current configuration  $C$  of  $M$  by an element of  $\mathbb{N}^2$ .

### 1.4 Coding a configuration: using $(0, 1)$ and $\arctan$ .

Consider  $\nu : \mathbb{N} \rightarrow (0, 1)$  defined by

Then the triple

$$\gamma_{(0,1)^2}^{TM}(C) = \left( \frac{2}{\pi} \arctan(y_1), \frac{2}{\pi} \arctan(y_2), \frac{2}{\pi} \arctan(q) \right)$$

### 1.5 Coding a configuration: using $[0, 1]$

Take

$$\begin{aligned} y_1 &= a_0 10^{-1} + a_1 10^{-2} + \dots + a_n 10^{-n-1}, \\ y_2 &= a_{-1} 10^{-1} + a_2 10^{-2} + \dots + a_{-k} 10^{-k}, \end{aligned}$$

and let  $q$  be the state associated to the current configuration.

Then the triple

$$\gamma_{[0,1]^2}^{TM}(C) = (y_1, y_2, q) \in [0, 1]^2 \times \{1, 2, \dots, m\}$$

encodes the current configuration  $C$  of  $M$  by an element of  $[0, 1]^2 \times \{1, 2, \dots, m\}$ .

## 2 Discrete time simulation: $\gamma_{\mathbb{N}^2}^{TM}$

### 2.1 Koiran-Moore 99's theorem

**Definition 1** Let  $U_n$  be the smallest class of functions  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  containing rational constants,  $\pi$ , the  $n$  projections  $x \mapsto x_i$  and satisfying the following closure properties:

- if  $f, g \in U_n$  then  $f \oplus g \in U_n$ , where  $\oplus \in \{+, -, \times\}$
- if  $f \in U_n$  then  $\sin(f) \in U_n$

We will say that  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  is elementary if its  $n$  components are in  $U_n$ .

../. could be potentially: disucssion about dimension 1 of [2]: simulation in exponential time using counter

**Theorem 1 (Koiran-Moore's Theorem [2])** *For any Turing machine  $M$  and any input  $w$ , there is an elementary function  $f$  on two variables and constants  $a$  and  $b$  such that  $M$  halts on input  $w$  after  $t$  steps if and only if  $f^{[t]}(a+bw, 0) = (0, 0)$ .*

BEGIN-PERSO: Important que linear time dans ce résultat, sinon plus facile en utilisant dimension 1: cf papier. END-PERSO

### 2.1.1 Proof of Koiran-Moore 99's theorem

If we define

$$h_p(x) = \left( \frac{\sin(\pi x)}{p \sin \frac{\pi x}{p}} \right)^2$$

then we have (for integer  $t$  and  $a$ )

$$\begin{aligned} h_{10(m+1)}(x - (t + (m+1)a)) &= \begin{cases} 1 & \text{if } q = t \text{ and } a_0 = a \\ 0 & \text{otherwise} \end{cases} \\ h_{10}(y - a) &= \begin{cases} 1 & \text{if } a_{-1} = a \\ 0 & \text{otherwise} \end{cases} \end{aligned}$$

Then let  $q_{next} = q_{next}(q, a_0)$  be the Turing machine's new state,  $a' = a'(q, a_0)$  be the symbol it writes on the tape,  $H = H(q, a_0)$  its movement left or right with the convention that  $H = 0$  for halt states and  $+/- 1$  for non-halting states.

Then if we define

$$\begin{aligned} (x_{right}, y_{right}) &= (q_{next} + \frac{x - q - (m+1)a_0}{10}, 10y + a') \\ (x_{left}, y_{left}) &= (q_{next} + 10(x - q + (m+1)(a' - a_0)) + (m+1)a_{-1}, \frac{y - a_{-1}}{10}) \end{aligned}$$

corresponding to shifting the machine to the right or left, we can simulate the Turing machine with the function:

$$\begin{aligned} f(x, y) &= \sum_{q=1}^m \sum_{a_0=0}^{10} H^2(s, a_0) \cdot h_{10(m+1)}(x - (q + (m+1)a_0)) \times \\ &\left[ \left( \frac{1 + H_{q, a_0}}{2} \right) \cdot (x_{right}, y_{right}) + \left( \frac{1 - H_{q, a_0}}{2} \right) \sum_{a_{-1}=0}^{10} h_{10(y - a_{-1})} \cdot (x_{left}, y_{left}) \right] \end{aligned}$$

An initial TM state  $q_0$  with an input  $w$  on the right half of the tape corresponds to an initial point  $(q_0 + nw, 0)$ . If the machine erases the tape before halting, and if the state is  $s = 0$ , halting is indicated by arriving at  $(0, 0)$ .

### 3 Discrete time simulation: $\gamma_{\mathbb{N}^3}^{TM}$

#### 3.1 Graça-Campagnolo-Buescu's Theorem 1

We now can state the first main result of this paper as follows:

**Theorem 2 (Graça-Campagnolo-Buescu's Theorem 1 [1])** *Let  $\theta : \mathbb{N}^3 \rightarrow \mathbb{N}^3$  be the transition function of a Turing machine  $M$ , under the encoding  $\gamma_{\mathbb{N}^3}^{TM}$  described above and let  $0 < \delta < \epsilon < 1/2$ . Then  $\theta$  admits an analytic extension  $f_M : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ , robust to perturbations in the following sense: for all  $f$  such that  $\|f - f_M\| \leq \delta$ , and for all  $\bar{x}_0 \in \mathbb{R}^3$  satisfying  $\|\bar{x}_0 - x_0\| \leq \epsilon$ , where  $x_0 \in \mathbb{N}^3$  represents an initial configuration,*

$$\left\| f^{[j]}(\bar{x}_0) - \theta^{[j]}(x_0) \right\| \leq \epsilon \text{ for all } j \in \mathbb{N}.$$

A few remarks are in order. First, and as noticed before, we implicitly assumed that if  $y$  is a halting configuration, then  $\theta(y) = y$ . Secondly, we notice that the upper bound  $1/2$  on  $\epsilon$  results from the chosen encoding, which is over the integers. In fact, the bound is maximal with respect to that encoding.

#### 3.2 Some basic functions

##### 3.2.1 Mod function $\omega$

Variante de la fonction d'avant en réalité



This section is devoted to the presentation of results that, while not very interesting on their own, will be useful when proving Theorem 1.

As our first task, we introduce an analytic extension  $\omega : \mathbb{R} \rightarrow \mathbb{R}$  for the function  $f : \mathbb{N} \rightarrow \mathbb{N}$  defined by  $f(n) = n \bmod 10$ . This function will be necessary when simulating Turing machines. It will be used to read symbols written in the tape.

To achieve this purpose, we can use a periodic function, of period 10, such that  $\omega(i) = i$ , for  $i = 0, 1, \dots, 9$ . Then, using trigonometric interpolation (cf. [22, pp. 176-182]), one may take

$$\omega(x) = a_0 + a_5 \cos(\pi x) + \left( \sum_{j=1}^4 a_j \cos\left(\frac{j\pi x}{5}\right) + b_j \sin\left(\frac{j\pi x}{5}\right) \right), \quad (1)$$

where  $a_0, \dots, a_4, b_1, \dots, b_4$  are computable coefficients that can be explicitly obtained by solving a system of linear equations.

It is easy to see that  $\omega$  is uniformly continuous in  $\mathbb{R}$ . Hence, for every  $\epsilon \in (0, 1/2)$ , there will be some  $\eta_\epsilon > 0$  satisfying

$$\forall n, x \in [n - \eta_\epsilon, n + \eta_\epsilon] \Rightarrow |\omega(x) - n \bmod 10| \leq \epsilon. \quad (2)$$

### 3.2.2 Error correcting function $\sigma$

When simulating a Turing machine, we will also need to keep the error under control. In many cases, this will be done with the help of the error-contracting function defined by

$$\sigma(x) = x - 0.2 \sin(2\pi x).$$

The function  $\sigma$  is a contraction on the vicinity of integers:

**Lemma 1** *Let  $n \in \mathbb{Z}$ , and let  $\epsilon \in [0, 1/2)$ . Then there is some contracting factor  $\lambda_\epsilon \in (0, 1)$  such that  $\forall \delta \in [-\epsilon, \epsilon]$ ,  $|\sigma(n + \delta) - n| < \lambda_\epsilon \delta$ .*

Throughout the remainder of this paper, we suppose that  $\epsilon \in [0, 1/2)$  is fixed and that  $\lambda_\epsilon$  is the respective contracting factor given by Lemma 1.

The function  $\sigma$  will be used in our simulation to keep the error controlled when bounded quantities are involved (e.g., the actual state, the symbol being read, etc.).

### 3.2.3 Error correcting function $l_3$

We will also need another error-contracting function that controls the error for unbounded quantities. This will be achieved with the help of the function  $l_3 : \mathbb{R}^2 \rightarrow \mathbb{R}$ , that has the property that whenever  $\bar{a}$  is an approximation of  $a \in \{0, 1, 2\}$ , then  $|l_3(\bar{a}, l)| < 1/y$ , for  $y > 0$ . In other words,  $l_3$  is an error-contracting map, where the error is contracted by an amount specified by the second argument of  $l_3$ .

We start by defining a preliminary function  $l_2$  satisfying similar conditions, but only when  $a \in \{0, 1\}$ .

**Lemma 2** *Let  $l_2 : \mathbb{R}^2 \rightarrow \mathbb{R}$  be given by  $l_2(x, y) = \frac{1}{\pi} \arctan(4y(x - 1/2)) + 1/2$ . Suppose that  $a \in \{0, 1\}$ . Then, for any  $\bar{a}, y \in \mathbb{R}$  satisfying  $|a - \bar{a}| \leq 1/4$  and  $y > 0$ , we get  $|a - l_2(\bar{a}, y)| < 1/y$ .*

**Lemma 3** *Let  $a \in \{0, 1, 2\}$  and let  $l_3 : \mathbb{R}^2 \rightarrow \mathbb{R}$  be given by*

$$l_3(x, y) = l_2((\sigma^{[d+1]}(x) - 1)^2, 3y)(2l_2(\sigma^{[d]}(x)/2, 3y) - 1) + 1,$$

where  $d = 0$  if  $\epsilon \leq 1/4$  and  $d = \lceil -\log(4\epsilon)/\log \lambda_\epsilon \rceil$  otherwise. Then for any  $\bar{a}, y \in \mathbb{R}$  satisfying  $|a - \bar{a}| \leq \epsilon$  and  $y \geq 2$  we have  $|a - l_3(\bar{a}, y)| < 1/y$ .

### 3.2.4 An observation

The following lemma can be easily proved by induction on  $n$ .

**Lemma 4** *If  $|\alpha_i|, |\bar{\alpha}_i| \leq K$  for  $i = 1, \dots, n$  then*

$$\alpha_1 \dots \alpha_n - \bar{\alpha}_1 \dots \bar{\alpha}_n \leq (|\alpha_1 - \bar{\alpha}_1| + \dots + |\alpha_n - \bar{\alpha}_n|)K^{n-1}.$$

### 3.3 Another statement

In this section we show, in a constructive manner, how to simulate a Turing machine with an analytic map robust to (small) perturbations. We will first prove the following theorem.

**Theorem 3** *Let  $\theta : \mathbb{N}^3 \rightarrow \mathbb{N}^3$  be the transition function of some Turing machine. Then, given some  $0 \leq \epsilon < 1/2$ ,  $\theta$  admits an analytic extension  $h_M : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  with the property that*

$$\|(y_1, y_2, q) - (\bar{y}_1, \bar{y}_2, \bar{q})\| \leq \epsilon \Rightarrow \|\theta(y_1, y_2, q) - \theta(\bar{y}_1, \bar{y}_2, \bar{q})\| \quad (3)$$

### 3.4 Proof of this statement

**Proof:** We will show how to construct  $h_M$  with analytic functions:

1. **Determine the symbol being read.** Let  $a_0$  be the symbol being actually read by the Turing machine  $M$ . Then  $\omega(y_1) = a_0$ , where  $\omega$  is given by (1).

But what about the effect of the error present in  $\bar{y}_1$ ?

Since  $|y_1 - \bar{y}_1| \leq \epsilon$ ,

$$a_0 - \omega \circ \sigma^{[l]}(\bar{y}_1) \leq \epsilon, \quad \text{with } l = \left\lceil \left| \frac{\log(\chi_\epsilon/\epsilon)}{\log \lambda_\epsilon} \right| \right\rceil, \quad (4)$$

where  $\chi_\epsilon$  is given (2). Then pick  $\bar{y} = \omega \circ \sigma^{[l]}(\bar{y}_1)$  as an approximation of the symbol being currently read. Similarly,  $\omega \circ \sigma^{[l]}(\bar{y}_2)$  gives an approximation of  $a_{-1}$ , with error bounded by  $\epsilon$ .

2. **Determine the next state.** The map that returns the next state is defined by polynomial interpolation. This can be done as follows. Let  $y$  be the symbol being currently read and  $q$  the current state. Recall that  $m$  denotes the number of states and  $k = 10$  is the number of symbols. One may take

$$q_{next} = \sum_{i=0}^9 \sum_{j=1}^m \left( \prod_{r=0, r \neq i}^9 \frac{(y-r)}{(i-r)} \right) \left( \prod_{s=1, s \neq j}^m \frac{(q-s)}{(j-s)} \right) q_{i,j},$$

where  $q_{i,j}$  is the state that follows symbol  $i$  and state  $j$ .

However, we are dealing with the approximations  $\bar{q}$  and  $\bar{y}$ .

Therefore, we define

$$q_{next} = \sum_{i=0}^9 \sum_{j=1}^m \left( \prod_{r=0, r \neq i}^9 \frac{(\sigma^{[n]}(\bar{y}) - r)}{(i-r)} \right) \left( \prod_{s=1, s \neq j}^m \frac{(\sigma^{[n]}(\bar{q}) - s)}{(j-s)} \right) q_{i,j}, \quad (5)$$

with

$$n = \left\lceil \frac{\log(10m^2 K^{m+7}(m+8))}{-\log \lambda_\epsilon} \right\rceil, \quad K = \max\{9.5, m + 1/2\}.$$

With this choice for  $n$ , the error of  $\sigma^{[n]}(\bar{y})$  and  $\sigma^{[n]}(\bar{q})$  is such that

$$9|y - \sigma^{[n]}(\bar{y})| + (m-1)|q - \sigma^{[n]}(\bar{q})| \leq \frac{\epsilon}{10m^2 K^{m+7}}. \quad (6)$$

Thus from (5), (6) and Lemma 4, we conclude that  $|\bar{q}_{next} - q_{next}| \leq \epsilon$ .

3. **Determine the symbol to be written on the tape.** Using a similar construction, the symbol to be written,  $s_{next}$ , can be approximated with precision  $\epsilon$ , i.e.  $|s_{next} - \bar{s}_{next}| \leq \epsilon$ .
4. **Determine the direction of the move for the head.** Let  $h$  denote the direction of the move of the head, where  $h = 0$  denotes a move to the left,  $h = 1$  denotes a “no move”, and  $h = 2$  denotes a move to the right. Then, again, the “next move”  $h_{next}$  can be approximated by means of a polynomial interpolation as in steps 3 and 4, therefore obtaining  $|h_{next} - \bar{h}_{next}| \leq \epsilon$ .
5. **Update the tape contents.** We define functions  $\bar{P}_1, \bar{P}_2, \bar{P}_3$ , which are intended to approximate the tape contents after the head moves left, does not move, or moves right, respectively. Let  $H$  be a “sufficiently good” approximation of  $h$ , yet to be determined. Then, the next value of  $y$ ,  $y_1^{next}$ , can next be approximated by

$$\bar{y}_1^{next} = \bar{P}_1 \frac{1}{2}(1-H)(2-H) + \bar{P}_2 H(2-H) + \bar{P}_3 \left(-\frac{1}{2}\right)H(1-H), \quad (7)$$

with

$$\begin{aligned} \bar{P}_1 &= 10(\sigma^{[j]}(\bar{y}_1) + \sigma^{[j]}(\bar{s}_{next}) - \sigma^{[j]}(\bar{y}) + \sigma^{[j]} \circ \omega \circ \sigma^{[j]}(\bar{y}_2)) \\ \bar{P}_2 &= \sigma^{[j]}(\bar{y}_1) + \sigma^{[j]}(\bar{s}_{next}) - \sigma^{[j]}(\bar{y}) \\ \bar{P}_3 &= \frac{\sigma^{[j]}(\bar{y}_1) - \sigma^{[j]}(\bar{y})}{10}, \end{aligned}$$

where  $j \in \mathbb{N}$  is sufficiently large and  $l$  is given by (4). Notice that when exact values are used,  $\bar{y}_1^{next} = y_1^{next}$ . The problem in this case is that  $\bar{P}_1$  depends on  $\bar{y}_1$ , which is not a bounded value. Thus, if we simply take  $\bar{H} = \bar{h}_{next}$  the error of the term  $(1-H)(2-H)/2$  is arbitrarily amplified when this term is multiplied by  $\bar{P}_1$ . Hence,  $\bar{H}$  must be a sharp estimate of  $h_{next}$ , proportional to  $\bar{y}_1$ . Therefore, using Lemma 3 and the definition of  $y_1$ , one can see that it suffices to take

$$H_3 = l_3(\bar{h}_3, 10000(\bar{y}_1 + 1/2) + 2).$$

Using the same argument for  $\bar{P}_2$  and  $\bar{P}_3$  we conclude that  $|\overline{y_1^{next}} - y_1^{next}| < \epsilon$ .

Similarly, and for the left side of the tape, we can define  $\bar{y}_2^{next}$  such that  $|\overline{y_2^{next}} - y_2^{next}| < \epsilon$ .

Finally,  $h_M : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is defined by  $h_M(\bar{y}_1, \bar{y}_2, \bar{q}_{next})$ .

□

### 3.5 Proof of Graça-Campagnolo-Buescu's Theorem 1

Let  $0 \leq \delta < \epsilon$ . Then, using Theorem 3, one can find a map  $h_M$  such that (3) holds. Let  $i \in \mathbb{N}$  satisfy  $\sigma^{[i]}(\epsilon) \leq \epsilon - \delta$ . Define a map  $f_M = \sigma^{[i]} \circ h_M$ . Then, if  $x_0 \in \mathbb{N}^3$  is an initial configuration,

$$\|\bar{x}_0 - x_0\| \leq \epsilon \Rightarrow \|f_M(\bar{x}_0) - \theta(\bar{x}_0)\| \leq \epsilon - \delta.$$

Thus by triangular inequality, if  $\|\bar{x}_0 - x_0\|$ , then

$$\|f_M(\bar{x}_0) - \theta(\bar{x}_0)\| \leq \|f_M(\bar{x}_0) - f_M(x_0)\| + \|f_M(x_0) - \theta(x_0)\| \leq \delta + (\epsilon - \delta) = \epsilon$$

This proves the result for  $j = 1$ . For  $j > 1$ , we proceed by induction.

## References

- [1] Daniel S. Graça, Manuel L. Campagnolo, and Jorge Buescu. Robust simulations of Turing machines with analytic maps and flows. In B. Cooper, B. Loewe, and L. Torenvliet, editors, *Proceedings of CiE'05, New Computational Paradigms*, volume 3526 of *Lecture Notes in Computer Science*, pages 169–179. Springer-Verlag, 2005.
- [2] P. Koiran and Cristopher Moore. Closed-form analytic maps in one and two dimensions can simulate universal Turing machines. *Theoret. Comput. Sci.*, 210(1):217–223, 1999.